The university ended fiscal year 2015 with a $1.038 million surplus, which will be directed to a reserve account dedicated to future capital projects. The surplus is the result of increased government grants and contracts, strong fundraising results, and increased royalty income.

Revenue

$359.8 million

- Government grants and contracts: $82.6 million (23.0 percent)
- Private grants and contracts: $45.7 million (12.7 percent)
- Development gifts: $79.2 million (22.0 percent)
- Endowment income: $9.49 million (2.63 percent)
- Auxiliaries: $35.0 million (9.7 percent)
- Royalty net income: $14.6 million (4.1 percent)
- Other: $7.8 million (2.1 percent)

Expenditures

$358.8 million

- Research and education: $228.6 million (63.7 percent)
  - Laboratory operations: $187.3 million (81.9 percent)
  - Hospital: $12.0 million (5.2 percent)
  - Educational programs: $9.9 million (4.3 percent)
  - Shared resource centers: $0.5 million (0.2 percent)
- Facilities operations: $31.7 million (8.8 percent)
- Central administration: $32.6 million (9.1 percent)
- Auxiliaries: $26.9 million (7.5 percent)
- Debt service: $31.0 million (8.6 percent)
- Deferred maintenance: $8.0 million (2.3 percent)

Rockefeller is proud of its commitment to fiscal responsibility, as evidenced by its history of balanced budgets. An unusual annual deficit in fiscal year 2012, the result of ongoing turmoil in the financial markets, was covered from funds set aside from previous years’ surpluses.
ANNUAL REPORT | FACTS & FIGURES

Grants and Gifts

Government and Private Grants, Five-Year Trend

Although government grant revenue has been declining, Rockefeller's faculty remain highly successful in obtaining competitive grants. The university’s government grant income is up slightly this year despite being down nationally. Private grants continue their slight upward trend.

Development Gifts, Progress toward Nine-Year Goal

Halfway through its nine-year Campaign for Transforming Biomedicine, Rockefeller has raised a total of $677 million in gifts and pledges. In fiscal year 2015 alone, the university raised more than double the amount it has in any previous year: $389 million, including 102 gifts of at least $100,000.

The Endowment

The university's endowment performed in the top quartile in fiscal year 2015, returning 6.7 percent despite challenging markets. The formula that determines endowment spending takes into consideration the rate of inflation and the performance of the university's investments over the previous three years, among other factors.

Federal Grants Received in Fiscal Year 2015

- Continuing grants: $62.4 million (68 grants)
- New grants: $16.6 million (42 grants)
- Transfers: $1.8 million (3 grants)
- Supplemental grants: $1.2 million (6 grants)
- Renewed grants: $2.9 million (7 grants)

Total: $412.3 million

Gross royalty revenue (millions)
- 2011: 16.9
- 2012: 15.7
- 2013: 15.4
- 2014: 15.7
- 2015: 15.7

Net royalty revenue after distributions and expenses (millions)
- 2011: 16.0
- 2012: 15.0
- 2013: 13.4
- 2014: 11.1
- 2015: 9.9

Agreements with Industry, Five-Year Trend

- 2011: 122
- 2012: 111
- 2013: 120
- 2014: 119
- 2015: 119

Research and educational programs
- $216.4 million

Donations to the graduate program and other educational initiatives
- $62.4 million

Faculty recruitment
- Donations to support startup costs and salaries for new heads of laboratory

Medical sciences and translational research
- $36.7 million

Contributions to fund medical science and translational research

Endowment
- $15.7 million

New contributions to the long-term investments that ensure Rockefeller's financial health

New technologies
- $12.8 million

Funds used to acquire new technology and facilities to support transformative science

Unrestricted
- $68.3 million

Flexible gifts to be spent at the discretion of the administration

Total: $412.3 million
The Rockefeller Community

Areas of Research

<table>
<thead>
<tr>
<th>Field</th>
<th>Labs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Genetics and Genomics</td>
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<tr>
<td>Chemical and Structural Biology</td>
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<tr>
<td>Immunology, Virology, and Microbiology</td>
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<tr>
<td>Medical Sciences, Systems Physiology, and Human Genetics</td>
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<td>Molecular and Cell Biology</td>
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<td>Neurosciences and Behavior</td>
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<td>Organismal Biology and Evolution</td>
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<tr>
<td>Stem Cells, Development, Regeneration, and Aging</td>
<td>17</td>
</tr>
<tr>
<td>Physical, Mathematical, and Computational Biology</td>
<td>13</td>
</tr>
</tbody>
</table>

Many laboratories conduct research in more than one area.

People

- **76** Heads of laboratories
- **16** Emeriti faculty
- **163** Ph.D. students
- **28** M.D.-Ph.D. students
- **312** Postdocs
- **111** Research associates
- **20** Clinical appointees
- **71** Other academic appointees
- **298** Laboratory staff
- **219** Resource center staff
- **81** Hospital staff
- **426** Administrative support staff

Countries of Origin

[Map showing countries and their origins]

- United States: 441
- China: 96
- Germany: 68
- Japan: 34
- Israel: 32
- France: 30
- India: 29
- Canada: 27
- South Korea: 27
- United Kingdom: 22
- Australia: 17
- Brazil: 16
- Switzerland: 13
- The Netherlands: 13
- Mexico: 10
- Spain: 21
- Italy: 19
- Taiwan: 17
- Austria: 12
- Sweden: 11
- Turkey: 11
- Denmark: 5
- Poland: 5
- Singapore: 5
- Yugoslavia: 5
- Chile: 4
- Slovenia: 3
- Thailand: 3
- Belgium: 2
- Hong Kong: 2
- Kenya: 2
- Ukraine: 2
- Albania: 1
- Armenia: 1
- Bulgaria: 1
- Croatia: 1
- Cuba: 1
- Cyprus: 1
- Ecuador: 1
- Finland: 1
- Indonesia: 1
- Ireland: 1
- Lebanon: 1
- Morocco: 1
- Myanmar: 1
- Napoleon: 1
- New Zealand: 1
- Nigeria: 1
- Norway: 1
- Peru: 1
- Sierra Leone: 1
- South Africa: 1

Academic positions only